

## REAL ESTATE SERVICES PROFESSIONAL LIABILITY INSURANCE APPLICATION

This is an application for a "claims-made and reported" policy. If a policy is issued, this application will attach to and become part of the policy. Please answer all questions and provide the following information:

- 1. Descriptive or promotional brochures, firm resumes, marketing materials or literature.
- 2. Resumes of all principals, partners, officers, and professional employees.
- 3. Standard contract or engagement letter used with clients.
- 4. Standard contract used with independent contractors or subcontractors
- 5. Latest fiscal year ended and current interim financial statements for all entities proposed for coverage.

## A. | PROPOSED APPLICANT |

3. Principal Business City: 4. Business Phone: 5. Mailing Address if 6. Business Website 7. (a) Is the Applican (b) Does the Appli								
2. Name/Title/Email  3. Principal Business City: 4. Business Phone: 5. Mailing Address if 6. Business Website 7. (a) Is the Applican (b) Does the Appli								
3. Principal Business City: 4. Business Phone: 5. Mailing Address if 6. Business Website 7. (a) Is the Applican (b) Does the Appli		seeking covera						
<ul><li>6. Business Website</li><li>7. (a) Is the Applican</li><li>(b) Does the Appli</li></ul>	of individual de	signated to acc	ept all notices on b	ehalf of th	e Applic	ant:		
<ul><li>6. Business Website</li><li>7. (a) Is the Applican</li><li>(b) Does the Appli</li></ul>	Address:							
<ul><li>6. Business Website</li><li>7. (a) Is the Applican</li><li>(b) Does the Appli</li></ul>	St	ate:	Zip:					
<ul><li>6. Business Website</li><li>7. (a) Is the Applican</li><li>(b) Does the Appli</li></ul>			Fax:					
<ul><li>6. Business Website</li><li>7. (a) Is the Applican</li><li>(b) Does the Appli</li></ul>	Different than B	usiness Addres	s:					
(b) Does the Appli	Address(es):							
	t owned, contro	lled, or affiliate	ed with any other e	ntity not sl	nown in	A.1.?	Yes	No
If the answer i	cant own, contr	ol, or manage a	any other entity nov	w shown ii	n A.1.?		Yes	No
			tails on an attachm	ent to this	S Applica	tion		
8. (a) Date Applicant							Yes	No
(b) Is the Applican							163	NO
	etails on a sepai							
(c) Applicant is:	Individual	Corporation	Partnership	LLC	LLP	Other		
	merger, acquisi	-	predecessor firm or tion, divestiture, ba				Yes	No
(b) Does the Applic	ant have any pla nerger, bankrup		next 12 months for on involving any Ap		sition, di	vestiture,	Yes	No
	y owners and k		the Applicant's prin Attach additional sl			rectors,		

Full Name	Title	License Held	Years of Experience	Years with Applicant

December 2020 Page 1 of 9

(b) Please provide the following information for the Applicant's employees and Independent Contractors who provide professional services on behalf of the Applicant:

		Avg Years of Experience	Avg Years with Applicant	Number	
	Active Licensed Agents				
	Other Professionals				
	(c) Is coverage desired for the A If yes, do you have a writter (d) Do you want to provide coverage.	agreement with the indepe		] [ ]	Yes No Yes No Yes No
11.	<ul> <li>(a) During the past 5 years, has professional employees or life or in connection with any endownership or financial interesting fyes, complete Owned Pro</li> <li>(b) Does the Applicant's princip Independent Contractors has</li> </ul>	ndependent Contractors bed ntity or any real property in est? perty Supplement als, partners, directors, offic	en engaged to provide profe which he, she or the Applica cers, professional employees	essional services fant had an	☐ Yes ☐ No or ☐ Yes ☐ No
	with any entity or any real p interest? If <i>yes</i> , complete Owned Pro	property in which he, she or			
В.	COVERAGE REQUESTED				
1. 2. 3.	Effective Date Requested: Limits Desired:\$1,000,000 Self-Insured Retention/Deduct	_		 ] \$25,000 🗖 Oth	er:

# C. BUSINESS ACTIVITY

(a) Please indicate which of the following activities the Applicant has performed in the past five (5) years or intends to perform in the next 12 months and the annual revenues for each: (Check all that apply)

## Services

Residential:	Previous 12 Months	Next 12 Months
Sales		
Leasing		
Land and Lots		
Vacation Rentals		
Appraising		
Property Management		
Auctioneering		
Sale of Property		
Mortgage Broker		
Commercial:		
Asset Management		
Auctioneering		
Appraisals		
Construction Management		
Other Construction Services		
Escrow		
Facility Management		

	Foreclosures			
	Mortgage Banking			
	Mortgage Brokering			
	Leasing			
	Commercial Property Mgmt.			
	Real Estate Development			
	Real Estate Consulting			
	Sale of Commercial Property			
	Sale of Commercial Property			
	Producing Property  Title Services			
	Broker Price Opinions			
	Other: (Describe)			
	TOTALS:			
	revenues for the most recent for the most rece	, 		
	(d) Please provide the following f			
	Client Name	Services	Transaction Value	Value/Fees
D.	TRAINING AND RISK MANAGEMI	ENT		
D.	TRAINING AND RISK MANAGEMI	ENT		
			nnel?	□ Yes □ No
1.	Does the Applicant have a formal	training program for person		☐ Yes ☐ No
	Does the Applicant have a formal For the most recent 12 months, i	training program for person	ales transactions in which	□ Yes □ No
1.	Does the Applicant have a formal For the most recent 12 months, i the firm or any member of the firm	training program for person ndicate the percentage of some including any Independe	ales transactions in which nt Contractor, acted as a	□ Yes □ No
1. 2.	Does the Applicant have a formal For the most recent 12 months, i the firm or any member of the fir dual agent representing both the	training program for person ndicate the percentage of s im including any Independe buyer and seller:	ales transactions in which nt Contractor, acted as a %	
1. 2.	Does the Applicant have a formal For the most recent 12 months, i the firm or any member of the fir dual agent representing both the Is this dual capacity disclosed in v	training program for person ndicate the percentage of some including any Independe buyer and seller:	ales transactions in which nt Contractor, acted as a % ns?	Yes □ No
1. 2.	Does the Applicant have a formal For the most recent 12 months, i the firm or any member of the fir dual agent representing both the Is this dual capacity disclosed in v Does the Applicant have a written	training program for person ndicate the percentage of some including any Independe buyer and seller:	ales transactions in which nt Contractor, acted as a % ns?	
1. 2.	Does the Applicant have a formal For the most recent 12 months, i the firm or any member of the fir dual agent representing both the Is this dual capacity disclosed in v Does the Applicant have a written senior management?	training program for person ndicate the percentage of s rm including any Independe buyer and seller:	ales transactions in which nt Contractor, acted as a % ons? uplaints to the Applicant's	Yes □ No
1. 2.	Does the Applicant have a formal For the most recent 12 months, i the firm or any member of the fir dual agent representing both the Is this dual capacity disclosed in v Does the Applicant have a written senior management?  (a) Are written contracts used with the possible of the possib	training program for person ndicate the percentage of some including any Independe buyer and seller:	ales transactions in which nt Contractor, acted as a % ons? uplaints to the Applicant's Sometimes	□ Yes □ No
1. 2. 3. 4.	Does the Applicant have a formal For the most recent 12 months, i the firm or any member of the fir dual agent representing both the Is this dual capacity disclosed in v Does the Applicant have a writter senior management?  (a) Are written contracts used wit (b) Are all such contracts either are they always reviewed at they are entered into by the (If such written contracts all provide the full legal name of association:	training program for person ndicate the percentage of some including any Independent buyer and seller:  priting on all such transaction procedure to escalate come had approved by a recognize and approved by the Applicant?  The developed by a profession training of the profession and approved by the Applicant?	ales transactions in which nt Contractor, acted as a % ons? uplaints to the Applicant's Sometimes	☐ Yes ☐ No ☐ Yes ☐ No or ☐ Yes ☐ No fore
1. 2. 3. 4.	Does the Applicant have a formal For the most recent 12 months, i the firm or any member of the fir dual agent representing both the Is this dual capacity disclosed in v Does the Applicant have a writter senior management?  (a) Are written contracts used wit (b) Are all such contracts either are they always reviewed a they are entered into by the (If such written contracts are provide the full legal name of association:  If written contracts are somet	training program for person dicate the percentage of some including any Independent buyer and seller:  writing on all such transaction procedure to escalate comb clients?  Always  developed by a recognizer and approved by the Applicant?  The developed by a professional transaction procedure to escalate comb clients?  The developed by the Applicant?  The developed by a professional transaction procedure to escalate comb clients?  The developed by a professional transaction procedure to escalate comb clients?	ales transactions in which nt Contractor, acted as a % ons? opliants to the Applicant's Sometimes   ed professional association oplicant's legal counsel beformal association, centage in the past 12 month	☐ Yes ☐ No ☐ Yes ☐ No or ☐ Yes ☐ No fore
1. 2. 3. 4.	Does the Applicant have a formal For the most recent 12 months, i the firm or any member of the fir dual agent representing both the Is this dual capacity disclosed in v Does the Applicant have a writter senior management?  (a) Are written contracts used wit (b) Are all such contracts either are they always reviewed at they are entered into by the (If such written contracts all provide the full legal name of association:	training program for person dicate the percentage of some including any Independent buyer and seller:  writing on all such transaction procedure to escalate comb clients?  Always  developed by a recognizer and approved by the Applicant?  The developed by a professional transaction procedure to escalate comb clients?  The developed by the Applicant?  The developed by a professional transaction procedure to escalate comb clients?  The developed by a professional transaction procedure to escalate comb clients?	ales transactions in which nt Contractor, acted as a % ons? opliants to the Applicant's Sometimes   ed professional association oplicant's legal counsel beformal association, centage in the past 12 month	☐ Yes ☐ No ☐ Yes ☐ No or ☐ Yes ☐ No fore

		Insurance Company	Limit of	Deductible	Premium	Policy Period	Retroactive
		insurance company	Liability	Deductible	Premium	Policy Period	Date
Curre	nt						
Prior	Year 1						
Prior	Year 2						
	e Applica ee (3) ye	nt ever had any profess	sional liability	insurance can	celled or non-re	newed within the last	☐ Yes ☐
		planation.					
-	-	ant currently have the	following ins	urance coverag	e in place:		
Cyber		,	J		•		☐ Yes ☐
Comm	ercial Cri	me					☐ Yes ☐
EPLI							☐ Yes ☐
CLAIIV	S EXPER	IENCE					
theret	o) been n	nade during the past five and subsidiary actions and a subsidiary or affiliary and subsidiary or affiliary and subsidiary or affiliary actions.	e (5) years ag		applicant; (b ) its	predecessors	
thereto in busi covera its preo propos Is the A of any	b) been n ness; (c) a ge; or (e) decessors ed for co Applicant circumst	nade during the past fiv any subsidiary or affilian I any past or present pa s in business, any subsic	re (5) years ag te of the App rtners, direct diary or affilia f each directo ons, or accid	gainst: (a) the A licant; (d) any c cors, officers, on the of the Appli- or, officer or pa ents (including	applicant; (b) its other entity proper remployees of to cant, or any oth rtner of the App without limitati	s predecessors posed for the Applicant, er entity plicant) aware on:	□ Yes □
therettin busicovera its preciproposis the A of any shareh complications Applications director affiliate Is the A propositions	b) been ness; (c) age; or (e) decessors ed for coapplicant circumstant, investing (a) the ant; (d) ant; (d) ant; (e) ant; definition of the Applicant ed insured	nade during the past five any subsidiary or affiliarly any past or present past in business, any subsidiary or affiliarly or ances, incidents, situaticion or derivative suit; of stigation or proceeding Applicant; (b) its predenty other entity proposed in the Applicant, or any other entity defer proper inquiry of after proper inquiry of ed) been involved during any substitution of the factor of the applicant, or any other entity proposed in the applicant, or any other entity of the applicant in the ap	re (5) years age te of the App rtners, direct diary or affiliar feach direct ons, or accider any civil, crelated there exessors in but of for coverage Applicant, it entity propose feach direct g the past five	gainst: (a) the A licant; (d) any of cors, officers, or ate of the Applia or, officer or pa ents (including iminal, or regul eto) that may re isiness: (c) any pa ge; or (e) any pa s predecessors and for coverage or, officer or pa ee (5) years in a	applicant; (b) its other entity pro r employees of t cant, or any oth rtner of the App without limitati atory action, or esult in a claim subsidiary or aff ast or present p in business, any e? rtner of the App ny disputes with	s predecessors posed for the Applicant, er entity  blicant) aware on: any being made filiate of the artner, y subsidiary or  blicant, or any or respect to	☐ Yes ☐
thereto in busing covera its preoposed is the A of any shareh complication against Application of the Applic	b) been ness; (c) age; or (e) decessors ed for complicant circumstant, investigation (d) and for the Applicant ed insure other complicant pplicant	nade during the past five any subsidiary or affiliarly any past or present past in business, any subsidiary or affiliarly are and a sin business, any subsidiary or ances, incidents, situation or derivative suit; of stigation or proceeding Applicant; (b) its predenty other entity propose are, or employees of the Applicant, or any other entity propose (after proper inquiry of ed) been involved during the applicant of the applicant, or any other entity of ed) been involved during the applicant of	re (5) years age te of the App rtners, direct diary or affiliate feach direct ons, or accident any civil, criterated there exessors in but did for coverage Applicant, it entity propose feach direct gethe past fiver be due for ser, partner o	gainst: (a) the A licant; (d) any of cors, officers, or ate of the Applia or, officer or pa ents (including iminal, or regul eto) that may re isiness: (c) any pa ge; or (e) any pa s predecessors and for coverage or, officer or pa e (5) years in a ervices/product r employee of	applicant; (b) its other entity properties of the applicant, or any other rtner of the applicant, or esult in a claim is astory action, or esult in a claim is astor present print in business, any e? rtner of the applicant, or the applicant applicant applicant, or the applicant applic	s predecessors posed for the Applicant, er entity  colicant) aware on: any being made filiate of the artner, y subsidiary or  colicant, or any n respect to the Applicant? or any other	
therette in busicovera its preceptors its preceptors its the A of any shareh complication against Application affiliated is the A proposition fees or is the A proposition performance in business and the A proposition in	b) been ness; (c) age; or (e) decessors ed for coapplicant circumstrant, investing (d) and applicant ed insure other coapplicant ed insure ed insure ed insure ed by the both the coapplicant ed insure ed insure ed by the both the coapplicant ed insure ed insure ed by the both the coapplicant ed insure ed insure ed by the both the coapplicant ed insure ed by the both the coapplicant ed by the both the coapplicant ed by the both the coapplicant ed insure ed by the both	nade during the past five any subsidiary or affiliarly any past or present past in business, any subsidiary or affiliarly are and a sin business, any subsidiary or ances, incidents, situaticion or derivative suit; of stigation or proceeding Applicant; (b) its predenty other entity proposed any other entity proposed and other entity proposed (after proper inquiry of after proper inquiry of the entity of the entity proposed) been involved during a menual are applicant or by other entity of the entity of	re (5) years age te of the App rtners, direct diary or affiliant feach direct ons, or accident any civil, critical related there excessors in but a for coverage Applicant, it entity propose feach direct gethe past five be due for ser, partner of l or alleged direct of the past of the past five partner of l or alleged direct of the past for whom	gainst: (a) the A licant; (d) any of cors, officers, or ate of the Applia or, officer or pa ents (including iminal, or regulated) that may re issiness: (c) any pa ge; or (e)	applicant; (b) its other entity proper remployees of the cant, or any other rtner of the App without limitation atory action, or esult in a claim is subsidiary or aff ast or present p in business, any e? rtner of the App ny disputes with tts provided by to the Applicant, cors or omission	s predecessors posed for the Applicant, er entity  colicant) aware on: any being made filiate of the artner, y subsidiary or  colicant, or any n respect to the Applicant? or any other s in work	□ Yes □
therettin busicovera its preopose Is the Application of any shareh complication of against Application of affiliate Is the Apropose fees or Is the Apropose perform would	b) been ness; (c) age; or (e) decessors ed for coapplicant circumstrant, investo of the Applicant ed insured other coapplicant ed insured by thikely resident ed insured by the ed	nade during the past five any subsidiary or affiliarly any past or present past in business, any subsidiary or affiliarly are proper inquiry or ances, incidents, situaticion or derivative suit; of stigation or proceeding Applicant; (b) its predenty other entity proposed any other entity proposed and the Applicant, or any other entity proposed been involved during mpensation which may are (or any director, officed) aware of any actual and Applicant or by other ult in a claim under the	re (5) years age to of the App rtners, direct diary or affiliar feach direct ons, or accident any civil, criterelated there excessors in but of for coverage Applicant, it entity propose feach direct of the past fiver be due for ser, partner of lor alleged directors for whom proposed institute of the past fiver be due for ser, partner of lor alleged directors for whom proposed institute of the past fiver partner of lor alleged directors for whom proposed institute of the past fiver partner of lor alleged directors for whom proposed institute of the past fiver partner of lor alleged directors for whom proposed institute of the past fiver partner of lor alleged directors and lord proposed institute of the past fiver partner of lord past fiver partner of lord past fiver past	gainst: (a) the A licant; (d) any of cors, officers, or ate of the Applia or, officer or pa ents (including iminal, or regul eto) that may re siness: (c) any pa se; or (e) any pa se; or (e) any pa se; or (e) any pa se; or (e) any pa se predecessors and for coverage or, officer or pa er (5) years in a ervices/producer r employee of eficiencies, err the Applicant is	applicant; (b) its other entity proper employees of the cant, or any other rtner of the Applicant in a claim is astory action, or esult in a claim is ast or present print in business, any er in business, any er rtner of the Applicant is provided by the Applicant, cors or omission is legally respon	s predecessors posed for the Applicant, er entity  blicant) aware on: any being made filiate of the artner, y subsidiary or  blicant, or any n respect to the Applicant? or any other s in work sible which	□ Yes □
therettin busicovera its preciproposis the A of any shareh complication against Application director affiliated Is the A proposition fees or Is the A proposition against proposition against the A proposition against proposition against the A proposition against the against the A proposition against the against the against the ag	b) been ness; (c) age; or (e) decessors ed for coapplicant circumstrant, investo of the Applicant ed insured other coapplicant ed insured by thikely resident ed insured by the ed	nade during the past five any subsidiary or affiliarly any past or present past in business, any subsidiary or affiliarly are and a sin business, any subsidiary or ances, incidents, situaticion or derivative suit; of stigation or proceeding Applicant; (b) its predenty other entity proposed any other entity proposed and other entity proposed (after proper inquiry of after proper inquiry of the entity of the entity proposed) been involved during a menual are applicant or by other entity of the entity of	re (5) years age to of the App rtners, direct diary or affiliar feach direct ons, or accident any civil, criterelated there excessors in but of for coverage Applicant, it entity propose feach direct of the past fiver be due for ser, partner of lor alleged directors for whom proposed institute of the past fiver be due for ser, partner of lor alleged directors for whom proposed institute of the past fiver partner of lor alleged directors for whom proposed institute of the past fiver partner of lor alleged directors for whom proposed institute of the past fiver partner of lor alleged directors for whom proposed institute of the past fiver partner of lor alleged directors and lord proposed institute of the past fiver partner of lord past fiver partner of lord past fiver past	gainst: (a) the A licant; (d) any of cors, officers, or ate of the Applia or, officer or pa ents (including iminal, or regul eto) that may re siness: (c) any pa se; or (e) any pa se; or (e) any pa se; or (e) any pa se; or (e) any pa se predecessors and for coverage or, officer or pa er (5) years in a ervices/producer r employee of eficiencies, err the Applicant is	applicant; (b) its other entity proper employees of the cant, or any other rtner of the Applicant in a claim is astory action, or esult in a claim is ast or present print in business, any er in business, any er rtner of the Applicant is provided by the Applicant, cors or omission is legally respon	s predecessors posed for the Applicant, er entity  blicant) aware on: any being made filiate of the artner, y subsidiary or  blicant, or any n respect to the Applicant? or any other s in work sible which	□ Yes
therete in busicovera its preepropose Is the Acomplication of any shareh complication of any shareh complete or shareholder of the Acomplete of	b) been ness; (c) age; or (e) decessors ed for complicant circumstrant, investigation of the Applicant ed insure other complicant ed insure ed ins	nade during the past five any subsidiary or affiliarly any past or present past in business, any subsidiary or affiliarly any past or present past in business, any subsidiary or ances, incidents, situation or derivative suit; of stigation or proceeding Applicant; (b) its predent of the Applicant, or any other entity proposed for any other entity proposed (after proper inquiry of ed) been involved during the applicant or by other entity proposed (after proper inquiry of ed) aware of any actual entity and actual entity actual entity actual entity and actual entity actual en	re (5) years age te of the App rtners, direct diary or affiliar feach direct ons, or accident any civil, crulated there exessors in build for coverage Applicant, it entity propose feach direct of general five past five past five partner of lor alleged durs for whom proposed insignificant contrainment of the landent Contrainment of the Applicant of the past five partner of lor alleged durs for whom proposed insignificant contrainment contrainme	gainst: (a) the A licant; (d) any of cors, officers, or ate of the Applia or, officer or pa ents (including iminal, or regul eto) that may re isiness: (c) any pa ge; or (e) a	applicant; (b) its other entity property of the property of the Applicant, or any other entity of the Applicant, or a claim is subsidiary or affact or present property of the Applicant, or a claim is provided by the Applicant, or or or omissions a legally responsible which any of the prospective	s predecessors posed for the Applicant, er entity  blicant) aware on: any being made filiate of the artner, y subsidiary or  blicant, or any n respect to the Applicant? or any other s in work sible which  he Applicant's insured ever been	☐ Yes ☐
therete in busicovera its preepropose Is the Application of any shareh complication of against Application of the Application o	b) been ness; (c) age; or (e) decessors ed for complicant circumstant, investigation (a) the ant; (d) ant; (d) ant; (d) ant; ed insure other complicant ed insure ned by thickly results, office igect of a	nade during the past five any subsidiary or affiliarly any past or present past in business, any subsidiary or affiliarly are and a sin business, any subsidiary or ances, incidents, situaticion or derivative suit; of stigation or proceeding Applicant; (b) its predent of the Applicant, or any other experiments, or employees of the Applicant, or any other experiments of the Applicant or any other experiments of the Applicant or by other experiments or by other aware of any instances and any substances.	re (5) years age te of the App rtners, direct diary or affiliar feach direct ons, or accident any civil, crulated there exessors in build for coverage Applicant, it entity propose feach direct of general five past five past five partner of lor alleged durs for whom proposed insignificant contrainment of the landent Contrainment of the Applicant of the past five partner of lor alleged durs for whom proposed insignificant contrainment contrainme	gainst: (a) the A licant; (d) any of cors, officers, or ate of the Applia or, officer or pa ents (including iminal, or regul eto) that may re isiness: (c) any pa ge; or (e) a	applicant; (b) its other entity property of the property of the Applicant, or any other entity of the Applicant, or a claim is subsidiary or affact or present property of the Applicant, or a claim is provided by the Applicant, or or or omissions a legally responsible which any of the prospective	s predecessors posed for the Applicant, er entity  blicant) aware on: any being made filiate of the artner, y subsidiary or  blicant, or any n respect to the Applicant? or any other s in work sible which  he Applicant's insured ever been	☐ Yes ☐

PRIOR INSURANCE

It is agreed that any claim or lawsuit against the Applicant, any director, officer, partner or employee of the Applicant, or any other proposed insured, arising from any facts, circumstances, acts, errors or omissions disclosed or required to be disclosed in response to questions F.1, F.2., F.3., F.4 and F.5 above, is hereby excluded from coverage under the proposed insurance policy.

#### **NOTICE TO APPLICANT - PLEASE READ CAREFULLY**

### Warranty:

Is it hereby Understood and Agreed, after proper inquiry of each director, officer, partner or employee of the Applicant, or any other proposed insured, that this application and its representations and warranties shall be deemed to be submitted by or on behalf of and be binding upon the Applicant and each and every proposed insured under the policy. It is further agreed that any misrepresentation, non-disclosure, concealment, or breach of warranty in this application shall be binding upon the Applicant and each and every proposed insured under the policy whether or not the proposed insured knew of, committed, or was responsible for such misrepresentation, non-disclosure, concealment, or breach of warranty.

We hereby authorize the release of claim information from any prior insurer to the insurer.

We understand and accept that the policy applied for provides coverage on a claims made and reported basis for only those claims that are first made against the Applicant and reported in writing to the Insurer during the policy, that the limits of liability of the policy will include both Damages and Defense Expenses, and that the Insurer will rely upon the truth of the information and statements in this application in deciding whether to issue a policy to the Applicant.

The Applicant agrees that if the information supplied on or attached to this application changes between the time this application is executed and the time that the proposed insurance policy is bound or coverage commenced, the Applicant will immediately notify the Insurer in writing of such changes; and the Insurer fully reserves with respect to the underwriting acceptance or denial of such changes.

Signing this form does not bind the Applicant or the Insurer to complete this insurance, but this application shall be the basis of the insurance should a policy be bound and issued and shall become part of the policy. The application must be signed to be considered for quotation.

The application must be signed by and dated by an owner, partner, or senior officer of the Applicant.

Applicant Info:	
Applicant Organization:	
Print Name:	
Title:	
Signature:	
Date:	

December 2020 Page 5 of 9

## **ADDITIONAL INFORMATION:**

December 2020 Page 6 of 9



## **OWNED PROPERTY SUPPLEMENT**

NAME OF APPLICANT:DATE:DATE:	—
Please provide the following additional information for each property in which any Insured has/had an ownership	
interest, if the Insured within the past 12 months has performed or plans within the next 12 months to perform	
Professional Services in connection with the property:	

Location of Property (a)	Description of Property's Use (b)	Market Value or Rental Income (c)	Professional Services Performed (d)	Commissions Or Fees (e)	% Owned by Insured (f)	Other Owners (g)

- (a) Please provide city and state where property is located;
- (b) Please describe the property's end use, i.e. office building, apartment building, retail, industrial...
- (c) If the insured sold or plans to sell the property, please provide the sale price or market value of the property. If the insured manages or leases the property, please provide the annual rental income that the property produces;
- (d) Please describe the services performed or to be performed for the property, i.e. acting as agent in the sale of property, property manager for the property, leasing agent for the property, ...
- (e) Commissions earned or expected to be earned from the sale of property or fees earned or expected to be earned from the management or leasing of the property;
- (f) Percentage of all prospective insured's collective direct and/or indirect ownership interest in the property
- (g) Identities of others who have an ownership interest in the property.



## **CLAIM SUPPLEMENTAL APPLICATION**

Please read this entire Supplemental Application carefully before signing. Whenever used in this Supplemental Application, the term "Applicant" means the Named Insured(s). Please complete ONE Supplemental Application for EACH claim or incident.

Name	e of Applicant:
1. N	Name(s) of Claimant(s) or potential Claimant(s):
2. N	Name of Defendant(s) or potential Defendant(s):
(a (b	dicate: Incident (potential claim) Claim Lawsuit ) Date of alleged wrongful act, error or omission: ) Date Applicant became aware of the alleged wrongful act, error or omission: ) How did the Applicant become aware of the alleged wrongful act, error or omission:
С	las the matter been reported to the current carrier: Yes No Carrier Name : Date Reported:
(a (b (c	his matter is Open Closed  a) If Closed, indicate the Total:  (1) Expense paid: \$  (2) Damages paid: \$  b) If Closed indicate if: Court Judgment Out of Court Settlement Withdrawn  c) If Open, indicate the Claimant Settlement Demand, if any: \$  d) If Open, indicate the Settlement amount offered by the Applicant: \$
(e	e) If Open, indicate the settlement amount offered by the Applicant: \$

December 2020 Page 8 of 9

6. Provide a detailed des	scription of the claim or incident, including the allegations against the Applicant:	
7. Please explain the cor	rective actions taken to prevent a similar claim or incident from reoccurring:	
8. Attach a loss history re	eport covering the last five (5) years if available	
herein and all attachmen	ntal Application, the Applicant understands and agrees that the information submittents becomes a part of, is deemed attached to, and is subject to the same representation lication for professional liability insurance.	
	tion must be signed and dated by a Principal, Partner, Managing Member or Senior Electronically reproduced signatures will be treated as original.	
Applicant Organization:		
Print Name:		
Title:		
Signature:		
Date		

December 2020 Page 9 of 9